

Acceptance of Non-Commercial Transactions (NCTs)

With reference to the NOTICE-CUM-ADDENDUM issued by us vide reference no. 22/2020 on May 01, 2020, in the wake of Covid-19, we are also accepting the following list of NCTs on email id pmftrx@principalindia.com (Designated Email Id - to be considered as Official Point of Acceptance), which shall be designated to receive following transaction requests over email with immediate effect.

- ✓ Updation/Correction of E-mail id;
- ✓ Updation/Correction of Mobile Number;
- ✓ Updation of Multiple Bank Details;
- ✓ Change/Correction of Bank Detail;
- ✓ Revalidation of physical redemption /dividend/ DD warrants requests (*cancel the existing warrant and credit the amount through electronic mode*);
- ✓ Pledge and Un-pledge
- ✓ Updation of Ultimate Beneficial Owner / FATCA details;
- ✓ Updation of PAN/KYC;
- ✓ SIP Cancellation / Pause registration
- ✓ Updation of Form 15G/H
- ✓ AUM Transfer/ Merger
- ✓ Channel Partner Transfer Request
- ✓ Change of Broker Code initiated by the Investor
- ✓ Correction of broker code/ sub broker code/ EUIN
- ✓ Change/ updation of broker bank details
- ✓ Change / updation of Broker nominee details
- ✓ EUIN Remediation
- ✓ Annual Self-Declaration Certificate (DSC) upto FY 11-12
- ✓ Revalidation of Commission warrants- Revalidation allowed wherever core banking details are updated

Investors / unit holders may take note of the following :

- Requests can be sent directly via email to "pmftrx@principalindia.com".
- Unit holders **whose email IDs are registered** with us, must send the request from their registered email ID only with requisite documents /proof.
- Unit holders **whose email IDs are NOT registered** with us, can send the request to the email ID mentioned above along with PDF of the signed request letter and requisite documents/proof.
- In case **mode of holding is registered as "Joint"**, send the request to the email id along with PDF duly signed by all registered unit holders with requisite documents/proof.

PMF will process the request after conducting necessary due diligences as considered appropriate and reserves the right to reject such request which is incomplete / does not provide the requisite documents.

PMF also reserves the right to accept any other NCT request (Under exceptional circumstances) and shall process the same, provided that relevant and valid documents have been provided.

Standard Forms along with requisite list of mandatory documents are available on our website - <https://www.principalindia.com/all-downloads/forms>.

Principal Asset Management Private Limited
(Formerly known as Principal Pnb Asset Management Company Private Limited)

Regd. Office: Exchange Plaza, 'B' Wing, Ground Floor, NSE Building, Bandra Kurla Complex, Bandra (East), Mumbai 400 051, India
Tel.: (91 22) 6772 0555 • Fax: (91 22) 6772 0512 • www.principalindia.com

CIN : U25000MH1991PTC064092

Mutual Fund



All the other terms and conditions of the scheme related documents of the schemes of the Mutual Fund shall remain unchanged.

Yours sincerely,

**for Principal Asset Management Private Limited
(Investment Manager Principal Mutual Fund)
Head - Operations and Customer Services**

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