

Date : \_\_\_/\_\_\_/\_\_\_\_\_

To be filled in Capital Letters.

**Transmission of Units**  
(where Nominee is NOT registered in the folio)

(Please ✓ the applicable request)

Folio/Account Number	Name of the Unitholders	
	Sole/First Applicant	
	Joint Holder Name 1	
	Joint Holder Name 2	

With reference to the above account, I/we state that "Mr./Ms. \_\_\_\_\_"  
passed away on \_\_\_\_\_ and he/she was holding the Units under the above mentioned Folio/Account No(s).

The said unit holder died intestate or without registering any nominee/s for the above mentioned investments. We further inform you that he/she left behind him/her only surviving heirs and next of kin. I/We have, therefore approached you with a request to transfer the aforesaid units in the name of the undersigns:

Name of Claimant/Legal Heir	PAN

My details are as follows :

My Address
..... ..... ..... City : ..... Pin Code..... State: .....

My Contact Details of Claimant			
E-mail address			
Mobile No.	STD Code	Residence Tel. No.	Office Tel. No.

My Bank Details	
Bank Account Number #	
Bank Account Type (Please ✓)	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> Others (Please specify) .....
Bank Name	
Bank Branch Address	..... City : ..... Pin Code : .....
RTGS/ NEFT/ IFS Code <small>11 digit code is printed on the cheque book</small>	MICR Code <small>9 Digit Number next to the Cheque No.</small>

I am herewith enclosing requested documents as follows: Please tick (✓)

<input type="checkbox"/>	Death Certificate in original or photocopy duly notarized or attested by gazette officer or a bank manager along with the bank stamp, Signatory full name, designation & Employee Code	
<input type="checkbox"/>	Self-attested copy of PAN Card	
<input type="checkbox"/>	KYC of the surviving unit holders duly verified by KYC Registration Agency (KRA)	
<input type="checkbox"/>	In case your PAN is not verified by KYC Registration Agency (KRA), kindly enclosed the KYC form along with relevant documentary proof and In-Person Verification. For more information in this regard please read "INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM" detailed on the reversed side of the KYC form. The said KYC form can be downloaded from our website <a href="https://www.principalindia.com/general/NewDownloads.aspx">https://www.principalindia.com/general/NewDownloads.aspx</a>	
<input type="checkbox"/>	Confirmation of Bank Details with Signature Attestation by a bank branch manager with the bank stamp, Signatory full name, designation & Employee Code	Annexure I
<input type="checkbox"/>	Indemnity Bond (To be Franking/Executed on Non-judicial Stamp paper of Rs. 200/-) from legal heir/s. #	Annexure III
<input type="checkbox"/>	Individual Affidavits (To be Franking/Executed on Non-judicial Stamp paper of Rs.300/-) from legal heir/s. #	Annexure IV
<input type="checkbox"/>	If the <b>transmission value is ₹ 2,00,000/- or more</b> , then along with the above mentioned documents required : (any one)* <input type="checkbox"/> Notarized copy of Probated Will <input type="checkbox"/> Legal Heir Certificate/ Succession Certificate <input type="checkbox"/> Claimant's Certificate issued by a competent court	

\* Please note that, in case the claimant/legal Heir submits any document of above mentioned, then the Indemnity Bond as mentioned above would not be required.

# KYC acknowledgement address should match with the address mentioned in the Indemnity Bond.

Please enclose the relevant documentary proof duly attested as mentioned above. The request is liable to be rejected if any information is missing or incorrectly filled or if there is deficiency in the documents submitted.

Signature of the Claimant

(This request can be submit at our nearest Investor Service Location. The list of Point of Official Point of Acceptance / Investor Service Centres are available on our website – <http://www.principalindia.com/contact-us>